

# MFG Financial Services, LLC

P.O. Box 845

Lake Village, AR 71653

Office 870-265-2271

Fax 870-265-2257

[tmiller@vsrfin.com](mailto:tmiller@vsrfin.com)

## Confidential Client Questionnaire

### Personal:

What is your name? \_\_\_\_\_

What is your address? \_\_\_\_\_

What is your home phone number? \_\_\_\_\_

What is your work phone number? \_\_\_\_\_

How old are you? \_\_\_\_\_

When is your birthday \_\_\_\_\_

What do you do for a living? \_\_\_\_\_

How much do you earn before taxes? \_\_\_\_\_

What is your spouse's name? \_\_\_\_\_

When is your spouse's birthday? \_\_\_\_\_

What does your spouse do for a living? \_\_\_\_\_

How much does your spouse earn before taxes? \_\_\_\_\_

How is your health? \_\_\_\_\_

How is your spouse's health? \_\_\_\_\_

At which bank do you have your checking and savings accounts? \_\_\_\_\_

Do you have any children? \_\_\_\_\_ If yes, names & ages \_\_\_\_\_

Do you work with any other brokers? \_\_\_\_\_ If so who? \_\_\_\_\_

\_\_\_\_\_  
The above form/schedule is provided for the express use of the clients of MFG Financial Services for the sole purposes stated therein. No representation is made as to its acceptability beyond this stated purpose.

Do you have an attorney? \_\_\_\_ If so who?\_\_\_\_\_

Do you have a CPA? \_\_\_\_ If so who?\_\_\_\_\_

The above form/schedule is provided for the express use of the clients of MFG Financial Services for the sole purposes stated therein. No representation is made as to its acceptability beyond this stated purpose.

Do you participate in any community organizations or charities?\_\_\_\_\_

If so, which ones?\_\_\_\_\_

## **Investments:**

Who do you have your IRA with?\_\_\_\_\_

What is the current total value?\_\_\_\_\_

If it is invested in CD's when do they come due?\_\_\_\_\_

Do you have a pension or profit sharing plan where you work?\_\_\_\_\_

Do you participate in a 401K plan?\_\_\_\_\_

Who does your spouse have his or her IRA with?\_\_\_\_\_

What is it's current total value?\_\_\_\_\_

If it is invested in CD's when do they come due?\_\_\_\_\_

Are you currently receiving retirement income?\_\_\_\_\_

If so, from what source and how much?\_\_\_\_\_

Are you currently receiving Social Security?\_\_\_\_\_

If so, how much do you receive?\_\_\_\_\_

Are you receiving retirement income from any other sources?\_\_\_\_\_

If so, how much do you receive?\_\_\_\_\_

How much money do you currently keep in your checking account?\_\_\_\_\_

And in your savings account?\_\_\_\_\_

---

**The above form/schedule is provided for the express use of the clients of MFG Financial Services for the sole purposes stated therein. No representation is made as to its acceptability beyond this stated purpose.**

How many CD's do you own? \_\_\_\_\_

Who are they with? \_\_\_\_\_

How much is each one for? \_\_\_\_\_

When do they come due? \_\_\_\_\_

What interest rate does each one pay? \_\_\_\_\_

Do you own any annuities? \_\_\_\_\_

If so, who are they with? \_\_\_\_\_

How much are the for? \_\_\_\_\_

Are they fixed or variable? \_\_\_\_\_

If fixed, what interest rate are they paying? \_\_\_\_\_

How much life insurance do you have? \_\_\_\_\_

Do you have long term care insurance? \_\_\_\_\_

If so, how much do you have? \_\_\_\_\_

Do you own any mutual funds? \_\_\_\_\_

If so, which ones and how much? \_\_\_\_\_

Do you own any stocks? \_\_\_\_\_

If so, in what companies? \_\_\_\_\_

How many shares do you own? \_\_\_\_\_

When did you buy them? \_\_\_\_\_

Do you own any bonds? \_\_\_\_\_

If so, what type? \_\_\_\_\_

How many bonds do you own? \_\_\_\_\_

What are the call dates/maturity dates? \_\_\_\_\_

---

**The above form/schedule is provided for the express use of the clients of MFG Financial Services for the sole purposes stated therein. No representation is made as to its acceptability beyond this stated purpose.**

## Financial:

What are your financial goals? \_\_\_\_\_

What do you need your money to do? \_\_\_\_\_

How much monthly income do you require? \_\_\_\_\_

Indicate your risk tolerance level: Low... Medium..... High  
1 2 3 4 5 6 7 8 9 10

How much do you estimate you are worth? \_\_\_\_\_

When do you hope to retire? \_\_\_\_\_

What are your expenses each month? \_\_\_\_\_

Are you paying off any major debts (home, 2<sup>nd</sup> home, farm, car, college, etc.)? \_\_\_\_\_

---

How much do you have to pay each month? \_\_\_\_\_

Do you plan on making any major purchases (house, car, etc.) say, in the next five years?

---

Do you have any other assets or sources of income I should know about? \_\_\_\_\_

---

How much did you have to pay in taxes last year? \_\_\_\_\_

What tax bracket are you in? \_\_\_\_\_

Do you have a will drawn up? \_\_\_\_\_

When was your will last reviewed? \_\_\_\_\_

Who did you name as your heirs? \_\_\_\_\_

Do you expect to receive an inheritance some day? \_\_\_\_\_

Do you have any trust accounts? \_\_\_\_\_

If so, how many and where? \_\_\_\_\_

Have you made any gifts (e.g. \$10,000 or more) to any of your loved ones? \_\_\_\_\_

---

**The above form/schedule is provided for the express use of the clients of MFG Financial Services for the sole purposes stated therein. No representation is made as to its acceptability beyond this stated purpose.**

---

Do you plan to provide financial support to any charities or organizations?\_\_\_\_\_

If so, which ones?\_\_\_\_\_

Do you own any real estate/farmland?\_\_\_\_\_

If so, how much and what is the approximate value?\_\_\_\_\_

Do you receive any income from property?\_\_\_\_\_

Who referred you to me?\_\_\_\_\_

**Other Pertinent Data:**